

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Λ	nn	11	car	۱t/	c 1	٠.	

David W. Gregg

Group No.

Unknown

Serial No.:

10/697,364

Examiner:

Unknown

Filed:

October 30, 2003

Confirmation No.

Unknown

For:

Concrete Stamping Apparatus

Mail Stop Non-Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **TRANSMITTAL**

### Dear Sir:

Attached please find the following:

Information Disclosure Statement B (5 pages)

☑ Information Disclosure Statement by Applicant including the 4 listed references

□ Certificate of Mailing by First Class Mail

Stamped, pre-addressed postcard

#### **CERTIFICATE OF MAILING**

I hereby certify that, on the date shown below, this correspondence is being

deposited with the United States Postal Service in an envelope addressed to: Mail Stop Non-Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

#### MAILING

37 C.F.R. §1.8 ■ as first class mail.

37 C.F.R. §1.10 as "Express Mail Post Office to Addressee" EXPRESS MAIL NO.

FACSIMILE TRANSMISSION

	transmitted b	y facsimile to	the Patent and	Trademark Office.
--	---------------	----------------	----------------	-------------------

Gayle Canfield
Name of Depositor

yle (anguld)
Signature

December 15, 2003

Date

The Commissioner is hereby authorized to charge any additional fees, which may be required to Deposit Account No. 50-1662. A duplicate of this request is attached.

Respectfully submitted,

POLSINELLI SHALTON, & WELTE, P.C.

Jerome R. Smith, Jr., Reg. No. 35,684

700 W. 47<sup>th</sup> Street, Suite 1000 Kansas City, Missouri 64112

Tel: (816) 360-4119 Fax: (816) 753-1536 Attorney for Applicants

30026 / 65936 JRSMI 1059101

Date: 15 Dec 2003

CERTIFICATE OF MAIL	Mat	ter No.		
Applicant(s): David W. G	65936			
Serial No.	Filing Date	Examiner	Group Art No.	Confirmation No.
10/697,364	October 30, 2003	Not Yet Assigned	Not Yet Assigned	Not Yet Assigned

## Invention: CONCRETE STAMPING APPARATUS

I hereby certify that a Transmittal (2 pages, in duplicate); Information Disclosure Statement B (5 pages); Information Disclosure Statement by Applicant including the 4 listed references; Certificate of Mailing by First Class Mail (1 page); and a stamped, pre-addressed postcard are being mailed by U.S. Postal Service First Class Mail to Addressee, Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, this 15th day of December, 2003.

Gayle Canfield
(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence

Atty Docket No. 65936

First Class Mail

# THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

David W. Gregg

Group No.

Not Yet Assigned

Serial No .:

10/697,364

Examiner:

Not Yet Assigned

Filed:

October 30, 2003

Conf. No.

Not Yet Assigned

For:

Concrete Stamping Apparatus

Mail Stop Non-Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT B

Dear Sir:

It is desired to cite for the record in this application the enclosed documents listed on the attached copy of PTO Form 1449. In sections I-IV, below, the paragraph(s) marked with an "x" in the requisite space are applicable to this Information Disclosure Statement.

### I. REQUEST FOR CONSIDERATION

[X] (a) The enclosed Information Disclosure Statement is being filed within three months of the filing date or within three months of the entry of the national stage of

#### CERTIFICATE OF MAILING

I hereby certify that, on the date shown below, this correspondence is being

deposited with the United States Postal Service in an envelope addressed to Mail Stop Non-Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

MAILING

37 C.F.R. §1.8 as first class mail.

37 C.F.R. §1.10

as "Express Mail Post Office to Addressee" EXPRESS MAIL NO.

**FACSIMILE TRANSMISSION** 

transmitted by facsimile to the Pate	ent and Trademark Office.	
Gayle Canfield	Haule Canfield	Dec
Name of Depositor	Signature	

December 15, 2003

the above-identified application. Accordingly, applicant(s) believe(s) that no fee or certification is required.

[	]	(b)	Applicant(s) believe(s) the enclosed Information Disclosure Statement is
entitled to	o the	benefit	of 37 CFR §1.97 (b) (3) as it is being filed before the mailing of the first
Office Ad	ction	on the r	merits. Accordingly, applicant(s) believe(s) that no fee or certification is
required.			
[	]	(c)	Applicant(s) believe(s) the enclosed Information Disclosure Statement is
entitled to	o the	benefit	of 37 CFR §1.97 (b) (4) as it is being submitted before the mailing of an
Office Ac	ction	after the	e filing of a request for continued examination under 37 CFR §1.114.
Accordin	gly, a	applican	t(s) believe(s) that no fee for certification is required.
[	]	(d)	Pursuant to 37 CFR §1.97(c), the enclosed Information Disclosure
Statemen	t is b	eing file	ed before the mailing date of a final action or a notice of allowance and is
accompai	nied l	oy:	
		[ ]	a certification under 37 CFR §1.97(e); or
		[ ]	the fee set forth in 37 CFR §1.17 (p);
			[ ] a check for this fee is enclosed herewith; or
			[ ] please charge this fee to Deposit Account No. 50-1662.

# II. PETITION UNDER 37 CFR 1.97 (d)

[ ] a) Pursuant to 37 CFR §1.97 (d), applicant(s) hereby petition(s) the Commissioner to consider the attached Information Disclosure Statement. Applicant(s) state(s) that the issue fee has not been paid and that a certification under 37 CFR §1.97 (e) is provided herein, along with the petition fee of \$130.00 required under 37 CFR §1.17 (i) (1);

[ ] a check for this petition fee is enclosed herewith;
[ ] please charge this petition fee to Deposit Account No. 50-1662;
and
b) The information disclosure fee of \$200.00 required by 37 CFR §1.17 (p) is
believed to be due, and
[ ] a check for this fee is enclosed herewith; or
[ ] please charge this fee to Deposit Account No. 50-1662.
III. CERTIFICATION UNDER 37 CFR §1.97 (e) (1)
[ ] The undersigned hereby certifies that each item of information contained in the
attached Information Disclosure Statement was cited in a communication from a foreign patent
office in a counterpart foreign application mailed not more that three months prior to the filing of
this statement. Art was cited in an International search Report mailedin the PCT
Application Nocorresponding to the above listed patent application.
[ ] A copy of theby the International Preliminary Examining
Authority is enclosed herewith.
IV. CERTIFICATION UNDER 37 CFR §1.97 (e) (2)
[ ] The undersigned hereby certifies that no item of information contained in the
attached Information Disclosure Statement was first cited in a communication from a foreign
patent office in a counterpart foreign application or, to the knowledge of the undersigned, after
making reasonable inquiry, was known to any individual having a duty of disclosure as set forth
in 37 CFR §1.56 (c) more than three months prior to the filing of this statement.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a governmental or international or regional bureau searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy 37 CFR §1.98(a) (3) even if in a foreign language, since the few terms of relevance therein are deemed of universal cognizance. However, applicant does not necessarily adopt the position reflected by that report.

The information herein cited is only in fulfillment of Applicant's(s') duty of candor in disclosing all information brought to Applicant's(s') attention. This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant(s) reserve(s) the right to present to the U.S. Patent and Trademark Office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve(s) the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

**PATENT** Atty Docket No. 65936 First Class Mail

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1662.

Respectfully submitted,

POLSINELLI SHALTON & WELTE, P.C.

Date: Dec 15, 2003

Jerome R. Smith, Jr. Reg. No. 35,684 700 W. 47<sup>th</sup> Street, Suite 1000

Kansas City, Missouri 64112

Tel: (816) 360-4119 Fax: (816) 753-1536 Attorney for Applicant(s)

30026 / 65936 JRSMI 1059105

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. SEbstitute for form 1449A/PTO Complete if Known 10/697,364 Application Number INFORMATION DISCLOSURE October 30, 2003 Filing Date STATEMENT BY APPLICANT David W. Gregg First Named Inventor Art Unit Unknown (use as many sheets as necessary) **Examiner Name** Unknown of 65936 Sheet Attorney Docket Number

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan	
	Cite No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Once Document	Passages or Relevant Figures Appear	
		US-4,735,567	04-05-1988	Frakes		
		US- 4,828,426	05-09-1989	Hendricks, et al.		
	i	US- 5,887,846	03-30-1999	Нирр		
		US- 6,540,435	04-01-2003	Lizarraga		
		US-				
		US-	·			
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				

	FOREIGN PATENT DOCUMENTS						
	0	Foreign Patent Document	Publication Name of Patentee or Applicant of Cited	Name of Patentee or	Pages, Columns, Lines,		
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )		Where Relevant Passages or Relevant Figures Appear	T⁵		
						<del></del>	
		<del> </del>					
						<del></del>	
****	<del></del>						
-							

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**